

Transfer Application - For companies listed on The Nasdaq Global Market that wish to transfer to The Nasdaq Global Select Market

Record Id:

GENERAL COMPANY INFORMATION

Company Name *

Address of Principal Executive Offices *

Address Line 2

City/Province *

State ▼

Zip/Postal Code

Country * ▼

Phone *

Website

State of Incorporation ▼

Country of Incorporation * ▼

Date of Incorporation



Operations Start Date



SEC File Number

Central Index Key (CIK) Code *

Most Recently Reported Fiscal Year End *



Foreign Private Issuer* Yes No

Company Description

Market Choice

An issuer seeking to list on either the Global Select Market or the Global Market will be approved for the market tier with the highest listing standards that the company meets. *

Nasdaq Global Select Market® Nasdaq Global Market® Nasdaq Capital Market®

The Nasdaq Group is also able to facilitate a company's listing on stock markets around the globe. An issuer that is applying for listing on The Nasdaq Stock Market may use this application to simultaneously begin the listing process on the OMX Nordic Exchanges. Please check any other markets on which the company is seeking to list:

Nasdaq Nordic Exchanges

Nasdaq will share information with representatives from the OMX Nordic Exchanges, as applicable, to facilitate the company's listing. Representatives of those exchanges will contact the primary contact listed below.

Application Contacts

Please provide at least one contact for the purposes of processing this form. In addition, Nasdaq recommends that you share access to this form with at least one other company or legal representative by entering their email address on our [Share Your Form](#) page.

**Note that the system will immediately send an email to any contacts with whom you have shared this form, even if the form has not yet been submitted.

Company Contact *

Outside Legal Counsel

U.S. Business Hours Contact
(if company is located outside of
the U.S.)

Contact Name *

Contact Name

Contact Name

Firm Name

Firm Name

Firm Name

Phone *

Phone

Phone

Email *

Email

Email

Address

Address Line 2

City/Province

State ▼

Postal/Zip Code

Country ▼

Primary Contact *

Nasdaq will conduct all communications with respect to the applicant with the designated primary contact.

Billing Information

Name *

Title/Firm

Phone *

Email *

Invoices will be sent electronically UNLESS you check this box.

Billing Address

Use address of principal executive offices listed above for billing.

Address *

Address2

City *

State ▼

Province

Postal/Zip Code

Country * ▼

ISSUE-SPECIFIC INFORMATION

Issue-Specific Information

In order for the application to properly store any of the issue information entered in this section, you must enter either a valid cusip number or a reserved symbol below. For corporate bonds, you must enter either a valid CUSIP number or a valid ISIN number.

Primary Issue

Current Trading Symbol *

Current Market *

Nasdaq Global Market ▼

Issue Type *



Issue Class

CUSIP *

Is the Security Book Entry Only



ATTACH SUPPORTING DOCUMENTS

In addition to a completed Listing Application, prior to approval, Nasdaq will require additional information and supporting documentation. Documentation will vary depending on the type of application you are submitting. Please review our [Supporting Documentation Guidelines](#). These guidelines, presented by application type, list the specific documents Nasdaq will require prior to approval for your specific application type. Required documentation must be provided prior to approval, but is not required at the time of original submission.

If the company has submitted a confidential draft registration statement to the SEC in connection with its proposed initial public offering, please attach the most recent copy of this draft registration statement and all related SEC correspondence.

To facilitate the review process, please submit supporting documentation electronically. You can submit additional documentation for your form at any time during the application review process.

Select documents using the "Browse" button. To attach multiple documents, hold CTRL key while making the selection. Documents should be provided using one of the following formats: MS Word, MS Excel or PDF.

Click the icon to upload. 

No files attached

AFFIRMATION

User Id

Name *

Title/Firm *

Date *



Initials *

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes. I understand that the Company has a continuing duty to update Nasdaq whenever there is an addition to or change in information previously furnished.

Applicants have a continuing duty to update responses to each of the questions on this application whenever there is an addition to or change in information previously furnished.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.